



सत्यमेव जयते

GOVERNMENT OF INDIA  
CENTRAL DRUGS STANDARD CONTROL  
ORGANISATION (Headquarter)  
(Directorate General of Health Services)  
Ministry of Health & Family Welfare  
Bhavan  
ITO, Kotla Road  
New Delhi - 110002 (Delhi)  
Phone No.: 91-11-23216367  
Fax No.: 91-11-23236973  
E-Mail : dci@nic.in

**File No. CT/24/000040**

To,

M/s IQVIA RDS (India) Private Limited,  
Omega Embassy TechSquare Marathahalli-Sarjapur,  
Outer Ring Road Kadubeesanahalli Bangalore (India) – 560103.

Sir,

With reference to your application No. GCT/CT04/FF/2024/42322 dated 08-Mar-2024, please find enclosed herewith the permission in Form CT-06 for conduct of clinical trial titled, **“A Phase I/III, Multi-center, Randomized, Double-blind Study of BAT3306 plus Chemotherapy versus Keytruda® plus Chemotherapy to Evaluate Pharmacokinetics, Efficacy, and Safety in Participants with Stage IV Non-squamous Non-small Cell Lung Cancer” Protocol No. BAT-3306-002-CR, version 1.0 dated 19 Dec 2023 with a total of up-to 114 subjects from India** under the provisions of New Drugs and Clinical Trial Rules, 2019

The permission granted by the Central Licensing Authority to conduct clinical trial shall be subject to following conditions, namely:-

- (i) **Human biological samples i.e. Tumor block (FFPE)/Unstained slides/Tissue slides related to clinical trial shall be permitted to be exported for analysis subject to the clearance by port offices of CDSCO. Further, ICMR/DHR permission shall be obtained for export of any optional biological samples for genetic testing as per DGFT notification number 72/2023 dated 11.03.2024.**
- (ii) Clinical trial at each site shall be initiated after approval of the clinical trial protocol and other related documents by the Ethics Committee of that site, registered with the Central Licencing Authority under rule 8;
- (iii) where a clinical trial site does not have its own Ethics Committee, clinical trial at that site may be initiated after obtaining approval of the protocol from the Ethics Committee of another trial site; or an independent Ethics Committee for clinical trial constituted in accordance with the provisions of rule 7:

Provided that the approving Ethics Committee for clinical trial shall in such case be responsible for the study at the trial site or the centre, as the case may be:

Provided further that the approving Ethics Committee and the clinical trial site or the bioavailability and bioequivalence centre, as the case may be, shall be located within the same city or within a radius of 50 kms of the clinical trial site;

- (iv) in case an ethics committee of a clinical trial site rejects the approval of the protocol, the details of the same shall be submitted to the Central Licensing Authority prior to seeking approval of another Ethics Committee for the protocol for conduct of the clinical trial at the

same site;

- (v) the Central Licencing Authority shall be informed about the approval granted by the Ethics Committee within a period of fifteen working days of the grant of such approval;
- (vi) clinical trial shall be registered with the Clinical Trial Registry of India maintained by the Indian Council of Medical Research before enrolling the first subject for the trial;
- (vii) clinical trial shall be conducted in accordance with the approved clinical trial protocol and other related documents and as per requirements of Good Clinical Practices Guidelines and the provisions of these rules;
- (viii) status of enrolment of the trial subjects shall be submitted to the Central Licencing Authority on quarterly basis or as appropriate as per the duration of treatment in accordance with the approved clinical trial protocol, whichever is earlier;
- (ix) six monthly status report of each clinical trial, as to whether it is ongoing, completed or terminated, shall be submitted to the Central Licencing Authority electronically in the SUGAM portal;
- (x) in case of termination of any clinical trial the detailed reasons for such termination shall be communicated to the Central Licencing Authority within thirty working days of such termination;
- (xi) any report of serious adverse event occurring during clinical trial to a subject of clinical trial, shall, after due analysis, be forwarded to the Central Licencing Authority, the chairperson of the Ethics Committee and the institute where the trial has been conducted within fourteen days of its occurrence as per Table 5 of the Third Schedule and in compliance with the procedures as specified in Chapter VI;
- (xii) in case of injury during clinical trial to the subject of such trial, complete medical management and compensation shall be provided in accordance with Chapter VI and details of compensation provided in such cases shall be intimated to the Central Licencing Authority within thirty working days of the receipt of order issued by Central Licencing Authority in accordance with the provisions of the said Chapter;
- (xiii) in case of clinical trial related death or permanent disability of any subject of such trial during the trial, compensation shall be provided in accordance with Chapter VI and details of compensation provided in such cases shall be intimated to the Central Licencing Authority within thirty working days of receipt of the order issued by the Central Licencing Authority in accordance with the provisions of the said Chapter;
- (xiv) the premises of the sponsor including his representatives and clinical trial sites, shall be open for inspection by officers of the Central Licencing Authority who may be accompanied by officers of the State Licencing Authority or outside experts as authorised by the Central Licencing Authority, to verify compliance of the requirements of these rules and Good Clinical Practices Guidelines, to inspect, search and seize any record, result, document, investigational product, related to clinical trial and furnish reply to query raised by the said officer in relation to clinical trial;
- (xv) where the new drug or investigational new drug is found to be useful in clinical development, the sponsor shall submit an application to the Central Licencing Authority for permission to import or manufacture for sale or for distribution of new drug in India, in accordance with Chapter X of these rules, unless otherwise justified;
- (xvi) the laboratory owned by any person or a company or any other legal entity and utilised by that person to whom permission for clinical trial has been granted used for research and development, shall be deemed to be registered with the Central Licencing Authority and may be used for test or analysis of any drug for and on behalf of Central Licencing Authority;
- (xvii) the Central Licencing Authority may, if considered necessary, impose any other condition in writing with justification, in respect of specific clinical trials, regarding the objective, design, subject population, subject eligibility, assessment, conduct and treatment of such specific clinical trial;
- (xviii) the sponsor and the investigator shall maintain the data integrity of the data generated during clinical trial
- (xix) Merely granting permission to conduct the **clinical trial** with the Investigational Drug Product does not convey or imply that, based on the **clinical trial study data** generated with the investigational drug, permission to market this drug in the country will automatically be granted to you;

(xx) The permission to initiate clinical trial granted under rule 22 in form CT-06 or automatic approval under rule 23 in Form CT 4A shall remain valid for a period of **two years** from the date of its issue, unless extended by the Central Licencing Authority.

Yours faithfully,

(Dr. Rajeev Singh Raghuvanshi)  
Drugs Controller General (India) &  
Central Licencing Authority  
Stamp

**FORM CT-06**

(See rules 22,25,26,29 and 30)

**PERMISSION TO CONDUCT CLINICAL TRIAL OF NEW DRUG OR  
INVESTIGATIONAL NEW DRUG**

1. The Central Licensing Authority hereby permits **M/s IQVIA RDS (India) Private Limited, Omega Embassy TechSquare, Marathahalli - Sarjapur Outer Ring Road Kadubeesanahalli Bangalore (India) – 560103** to conduct clinical trial of the new drug or investigational new drug as per **Protocol No. BAT-3306-002-CR, version 1.0 dated 19 Dec 2023** in the below mentioned clinical trial sites [As per Annexure].-
2. Details of new drug or investigational new drug and clinical trial site [As per Annexure].
3. This permission is subject to the conditions prescribed in Part A of Chapter V of the New Drugs and Clinical Trials Rules, 2019 under the Drugs and Cosmetics Act, 1940.

Place: New Delhi

Date \_\_\_\_\_

(Dr. Rajeev Singh Raghuvanshi)  
Drugs Controller General (India) &  
Central Licencing Authority  
Stamp

**Note:** The permission to initiate clinical trial granted under rule 22 in form CT-06 shall remain valid for a period of **two years** from the date of its issue, unless extended by the Central Licencing Authority.

**Annexure:**

Details of new drug or investigational new drug:

<b>Names of the new drug or investigational new drug</b>	Pembrolizumab (BAT3306)
<b>Therapeutic class:</b>	Monoclonal Antibody
<b>Dosage form:</b>	Solution for infusion
<b>Composition:</b>	BAT3306 monoclonal antibody=25.0000 mg/ml In House Specification Active
<b>Indications:</b>	Stage IV Non-squamous Non-small Cell Lung Cancer

**Annexure:**

Details of clinical trial site:

<b>Names and address of clinical trial site</b>	<b>Ethics committee details</b>	<b>Name of investigator</b>
Regional Cancer Centre, PO Box No. 2417, Medical Collage Campus Thiruvananthpuram Kerala - 695011	Human Ethics Committee, Regional cancer centre  ECR/21/Inst/Ker/2013/RR- 19	Dr Anoop T M
Magnum heart Institute, C/o Chopda Medicare & Research Centre Pvt Ltd., Patil Lane No: 1, Near K.B.H Vidyalaya Canada Corner ,Nashik Maharashtra	Magna-care Ethics Committee  ECR/79/Inst/MH/2013/RR-19	Dr Nagesh Shrinivasrao Madnoorkar
Sparsh Hospital & Critical Care, A-107, Saheed nagar Bhubaneswar Orissa - 751007	Institutional Ethics Committee Sparsh Hospital  ECR/68/Inst/OR/2013/RR-22	Dr Ghanashyam Biswas

Chittaranjan National cancer institute, Institutional ethics committee for clinical research Chittarajan National cancer Instityte, 37 SP Mukherjee Raod Kolkata West Bengal -700026	Institutional Ethics Committee  ECR/241/Inst/WB/2013/RR-20	Dr Kalyan Kusum Mukherjee
Nirmal Hospital Private ,Nirmal Hospital Private Ethics Committee, Ring Road, SuratGujarat - 395002	Nirmal Hospital Pvt Ltd Ethics Committee  ECR/390/Inst/GJ/2013/RR-19	Dr Jayanti Govindji Patel
National Cancer Institute, Khasara No. 25 Outer HingnaRing Road Jamtha Maharashtra- 441108	National cancer Institute Ethics Committee National Cancer Institute  ECR/1130/Inst/MH/2018/RR-21	Dr Anand Pathak
GBH memorial cancer hospital, near transport Nagar, Pratap Nagar Bedwas Rajasthan - 313001	Ethics Committee GBH Memorial Cancer Hospital  ECR/1099/Inst/RJ/2018/RR-21	Dr Rohit Dominic Jawahar Rebello
Indira Gandhi Institute of Medical Science, Sheikhpura Patna Bihar - 800014	Institutional Ethics Committee, IGIMS, Sheikhpura  ECR/640/Inst/BR/2014/RR-20	Dr Rajesh Kumar Singh
Dr. Ram Manohar Lohia Institute of Medical Sciences, Vibhuti Khand, Gomti Nagar Lucknow Uttar Pradesh - 226010	Institutional Ethics Committee, Dr. Ram Manohar lohia Institute of Medical Sciences  ECR/913/Inst/UP/2017/RR-20	Dr Ashish Singhal
Tata Memorial hospital, Department of medical oncology, Homi Bhabha block,Dr. E Borges Road, Parel Maharashtra - 400012	Institutional Ethics Committee (IEC)- I  Institutional Ethics Committee (IEC)- II  IEC I-  ECR/170/Inst/MH/2013/RR-22	Dr Vanita Noronha

	IECII- ECR/414/Inst/MH/2013/RR-19	
K R Hospital attached to Mysore Medical College and Research Institute, K R Hospital attached to Mysore Medical College and Research Institute, Irwin Road Mysuru Karnataka- 570001	Institutional Ethics Committee- Mysore Medical College and Research Institute and Associated Hospitals, Department of Pathology, K R Hospital, Mysore Medical College and Research Institute, Irwin Road, Mysore- 570001, Karnataka India  ECR/134/Inst/KA/2013/RR-19	Dr Mukesh Shantilal
Cancer Relief Societys RST Regional Cancer Hospital and Research Centre, Manewada Road, Tukdoji Square Nagpur Maharashtra - 440027	Institutional Ethics Committee Rashtrasant Tukdoji Regional Cancer Hospital and Research Centre, Nagpur  ECR/735/Inst/MH/2015/RR-21	Dr Mukesh Radheshyam Bang
Narayan Multi-speciality Hospital -Unit of Narayan Hrudayalaya Limited, Opp.Police station, Rakhial Cross Road Ahmedabad Gujarat -380023	Sangini Hospital Ethics Committee  ECR/147/Inst/GJ/2013/RR -19	Dr Rushabh Kiran Kothari
KIMS Kingsway Hospitals, SPANV Medi search Life science Private Limited, 44, Parvana Bhavan Kingsway Nagpur Maharashtra - 440001	KINGSWAY HOSPITALS ETHICS COMMITTEE  ECR/1269/Inst/MH/2019	Dr Saurabh Rajeshwar Prasad
Hemato Oncology Clinic Ahmedabad Pvt Ltd, Ground Floor and First Floor, Vedanta Institute of Medical Sciences, Near Samved Hospital, Navrangpura Ahmedabad Gujarat - 380009	Ethics Committee of CIMS  ECR/206/Inst/GJ/2013/RR-2	Dr Sandip Abhay kumar Shah

HCG Manavata Cancer Centre, Behind Shivang Auto Mumbai Naka, Maharashtra - 422002	Manavata Clinical Research Institute Ethics Committee ECR/500/Inst/MH/2013/RR-20	Dr Raj Vasantryo Nagarkar
--	--	------------------------------

\*\*\*\*\*