



GOVERNMENT OF INDIA
CENTRAL DRUGS STANDARD CONTROL
ORGANISATION (Headquarter)
(Directorate General of Health Services)
Ministry of Health & Family Welfare
FDA Bhavan
ITO, Kotla Road
New Delhi - 110002 (Delhi)
Phone No.: 91-11-23216367
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E-Mail : dci@nic.in

File No. CT/23/000092

To,

M/s Bayer Pharmaceuticals Pvt. Ltd.,
Bayer House, Central Avenue Hiranandani Estate,
Thane (West) (India) - 400607.

Sir,

With reference to your application No. GCT/CT04/FF/2023/38775 (GCT/92/23) dated 24/07/2023 please find enclosed herewith the permission in Form CT-06 for conduct of phase III clinical trial titled, **“A multicenter, international, randomized, placebo controlled, double-blind, parallel group and event driven Phase 3 study of the oral FXIa inhibitor asundexian (BAY 2433334) for the prevention of ischemic stroke in male and female participants aged 18 years and older after an acute non-cardioembolic ischemic stroke or high-risk TIA ”** Protocol No.: 20604 Version No. DEU-1 dated 13-FEB-2023 with a total of up-to 100 subjects from India under the provisions of New Drugs and Clinical Trial Rules, 2019

The permission granted by the Central Licensing Authority to conduct clinical trial shall be subject to following conditions, namely:-

- (i) **Clarity on PFO and arterial episodes findings in Echo as embolic or non embolic shall be mentioned in inclusion criteria;**
- (ii) Clinical trial at each site shall be initiated after approval of the clinical trial protocol and other related documents by the Ethics Committee of that site, registered with the Central Licencing Authority under rule 8;
- (iii) where a clinical trial site does not have its own Ethics Committee, clinical trial at that site may be initiated after obtaining approval of the protocol from the Ethics Committee of another trial site; or an independent Ethics Committee for clinical trial constituted in accordance with the provisions of rule 7:

Provided that the approving Ethics Committee for clinical trial shall in such case be responsible for the study at the trial site or the centre, as the case may be:

Provided further that the approving Ethics Committee and the clinical trial site or the bioavailability and bioequivalence centre, as the case may be, shall be located within the same city or within a radius of 50 kms of the clinical trial site;

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- (iv) in case an ethics committee of a clinical trial site rejects the approval of the protocol, the details of the same shall be submitted to the Central Licensing Authority prior to seeking approval of another Ethics Committee for the protocol for conduct of the clinical trial at the same site;
- (v) the Central Licensing Authority shall be informed about the approval granted by the Ethics Committee within a period of fifteen working days of the grant of such approval;
- (vi) clinical trial shall be registered with the Clinical Trial Registry of India maintained by the Indian Council of Medical Research before enrolling the first subject for the trial;
- (vii) clinical trial shall be conducted in accordance with the approved clinical trial protocol and other related documents and as per requirements of Good Clinical Practices Guidelines and the provisions of these rules;
- (viii) status of enrolment of the trial subjects shall be submitted to the Central Licensing Authority on quarterly basis or as appropriate as per the duration of treatment in accordance with the approved clinical trial protocol, whichever is earlier;
- (ix) six monthly status report of each clinical trial, as to whether it is ongoing, completed or terminated, shall be submitted to the Central Licensing Authority electronically in the SUGAM portal;
- (x) in case of termination of any clinical trial the detailed reasons for such termination shall be communicated to the Central Licensing Authority within thirty working days of such termination;
- (xi) any report of serious adverse event occurring during clinical trial to a subject of clinical trial, shall, after due analysis, be forwarded to the Central Licensing Authority, the chairperson of the Ethics Committee and the institute where the trial has been conducted within fourteen days of its occurrence as per Table 5 of the Third Schedule and in compliance with the procedures as specified in Chapter VI;
- (xii) in case of injury during clinical trial to the subject of such trial, complete medical management and compensation shall be provided in accordance with Chapter VI and details of compensation provided in such cases shall be intimated to the Central Licensing Authority within thirty working days of the receipt of order issued by Central Licensing Authority in accordance with the provisions of the said Chapter;
- (xiii) in case of clinical trial related death or permanent disability of any subject of such trial during the trial, compensation shall be provided in accordance with Chapter VI and details of compensation provided in such cases shall be intimated to the Central Licensing Authority within thirty working days of receipt of the order issued by the Central Licensing Authority in accordance with the provisions of the said Chapter;
- (xiv) the premises of the sponsor including his representatives and clinical trial sites, shall be open for inspection by officers of the Central Licensing Authority who may be accompanied by officers of the State Licensing Authority or outside experts as authorised by the Central Licensing Authority, to verify compliance of the requirements of these rules and Good Clinical Practices Guidelines, to inspect, search and seize any record, result, document, investigational product, related to clinical trial and furnish reply to query raised by the said officer in relation to clinical trial;
- (xv) where the new drug or investigational new drug is found to be useful in clinical development, the sponsor shall submit an application to the Central Licensing Authority for permission to import or manufacture for sale or for distribution of new drug in India, in accordance with Chapter X of these rules, unless otherwise justified;
- (xvi) the laboratory owned by any person or a company or any other legal entity and utilised by that person to whom permission for clinical trial has been granted used for research and development, shall be deemed to be registered with the Central Licensing Authority and may be used for test or analysis of any drug for and on behalf of Central Licensing Authority;
- (xvii) the Central Licensing Authority may, if considered necessary, impose any other condition in writing with justification, in respect of specific clinical trials, regarding the objective, design, subject population, subject eligibility, assessment, conduct and treatment of such specific clinical trial;

- (xviii) the sponsor and the investigator shall maintain the data integrity of the data generated during clinical trial;
- (xix) Merely granting permission to conduct the clinical trial with the Investigational Drug Product does not convey or imply that, based on the clinical trial study data generated with the investigational drug, permission to market this drug in the country will automatically be granted to you;
- (xx) The permission to initiate clinical trial granted under rule 22 in form CT-06 or automatic approval under rule 23 in Form CT 4A shall remain valid for a period of **two years** from the date of its issue, unless extended by the Central Licencing Authority.

Yours faithfully,

RAJEEV SINGH
Digitally signed by RAJEEV SINGH RAGHUVANSHI
DN: c=IN, o=CENTRAL DRUGS STANDARD
CONTROL ORGANIZATION, ou=RAJEEV SINGH
RAGHUVANSHI,
2.5.4.20=80C62f6a23e4afbe8a239774cdeb03c276
9041015a06546ef7f54b765db1cb,
pos=CC, email=rajeev.singh@AMUL.NAGRI,
serialNumber=23F3E47D9090558F03BDC902D0
F4F6F3CEA12A1A126FA94FA570112A4A9013,
cn=Dr. Rajeev Singh (General India) &
Central Licensing Authority
Stamp
Date: 2023.12.21 16:34:13 +05'30'

FORM CT-06

(See rules 22,25,26,29 and 30)

PERMISSION TO CONDUCT CLINICAL TRIAL OF NEW DRUG OR INVESTIGATIONAL NEW DRUG

1. The Central Licensing Authority hereby **M/s Bayer Pharmaceuticals Pvt. Ltd., Bayer House, Central Avenue Hiranandani Estate Thane (West) (India) - 400607 Telephone No.: 9967800051, 9820497458, 9717658888 FAX: E-Mail : PRIYA.CHATTERJEE@BAYER.COM** to conduct clinical trial of the new drug or investigational new drug as per **Protocol No.: 20604 Version No. DEU-1 dated 13-FEB-2023** in the below mentioned clinical trial sites [As per Annexure].
2. Details of new drug or investigational new drug and clinical trial site [As per Annexure].
3. This permission is subject to the conditions prescribed in Part A of Chapter V of the New Drugs and Clinical Trials Rules, 2019 under the Drugs and Cosmetics Act, 1940.

Place: New Delhi

Date _____

RAJEEV SINGH
RAGHUVANS
(Dr. Rajeev Singh Raghuvanshi)
Drugs Controller General (India) &
Central Licensing Authority
Stamp

Note: The permission to initiate clinical trial granted under rule 22 in form CT-06 shall remain valid for a period of **two years** from the date of its issue, unless extended by the Central Licencing Authority.

Annexure:

Details of new drug or investigational new drug:

Names of the new drug or investigational new drug	BAY 2433334
Therapeutic class:	Anticoagulant
Dosage form:	Tablets
Composition:	BAY 2433334 = 50.0000 milligram(mg) In House Specification Active
Indications:	Study of the oral FXIa inhibitor asundexian (BAY 2433334) for the prevention of ischemic stroke in male and female participants aged 18 years and older after an acute non-cardioembolic ischemic stroke or high-risk TIA

Annexure:

Details of clinical trial site:

Sr. No.	Names and address of clinical trial site	Ethics committee details	Name of investigator
1.	Shree Krishna Hospital and Medical Research Centre, Gokal Nagar, Karamsad, Anand, Gujarat, India-388325	Institutional Ethics Committee Bhaikaka University, Office IEC, Department of Biochemistry, Pramukhswami Medical College, Karamsad, Gujarat, India-388325 ECR331/Inst/GJ/2013/RR-19	Dr. Soaham Desai
2.	Yashoda Hospital, Raj Bhavan Road, Somajiguda, Hyderabad-500082, Telangana, India	Institutional Ethics Committee, Yashoda Academy of Medical Education and Research, Yashoda Hospital, Behind Hari Hara Kalabhavan, S.P. Road, Secunderabad-500003 ECR/49/Inst/AP/2013/RR-22	Dr. Jaydip Ray Chaudhuri
3.	Sree Chitra Tirunal Institute for Medical Sciences and Technology, Medical College Campus, Trivandrum-695011, Kerala, India	Institutional Ethics Committee, 5 th Floor, AMCHSS Building, Sree Chitra Tirunal Institute for Medical Sciences and Technology, Medical College Campus, Trivandrum-695011, Kerala, India ECR/189/Inst/KL/2013/RR-21	Dr. Sylaja P. N
4.	KG Hospital And Post Graduate Medical Institute & Research Centre, No-5, Government Arts College Road, Coimbatore, Tamil Nadu, India- 641018	Institutional Ethics Committee KG Hospital, A Unit of K. Govindaswamy Naidu Medical Trust, No- 5, 3 rd m Floor B Block, Government Arts College Road, Coimbatore- 541018 ECR/213/Inst/TN/2013/RR-19	Dr. TCR Ramakrishnan
5.	AIIMS, Bhubaneswar, Sijua Patrapada, Po-Dumduma, Odisha, India-751019	Institutional Ethics Committee AIIMS, Bhubaneswar, Sijua Patrapada, Po-Dumduma, Odisha, India-751019 ECR/534/Inst/OD/2014/RR-20	Dr. Sanjeev Kumar Bhoi
6.	Artemis Hospital, Sector-21, Gurugram, Haryana-122001	Artemis Health Sciences Institutional Ethics Committee, 2 nd Floor, B-wing (ICU), Artemis Hospital, Sector-21, Gurgaon, Haryana-122001 ECR/53/Inst/HR/2013/RR-19	Dr. Rajsrinivas Parthasarathy

