File No. CT/40/23-DCG(I)

GOVERNMENT OF INDIA
CENTRAL DRUGS STANDARD CONTROL ORGANISATION (Headquarter)
(Directorate General of Health Services)
Ministry of Health & Family Welfare
FDA Bhavan
ITO, Kotla Road
New Delhi - 110002 (Delhi)
Phone No.: 91-11-23216367
Fax No.: 91-11-23236973
E-Mail : dci@nic.in

सत्यमेव जयते

File No. CT/23/000040

To,

M/s. Roche Products (India) Private Limited,
146 B, 166 A, Unit No 7, 8, 9, 8th floor, R city office,
R City Mall Lal Bahadur Shastri Marg,
Ghatkopar (West) Mumbai (India) – 400086.

Sir,

With reference to your application No GCT/CT04/FF/2023/37084 (GCT/40/23) dated 21-04-2023, please find enclosed herewith the permission in Form CT-06 for conduct of clinical trial titled, "**A PHASE III, MULTICENTER, RANDOMIZED, DOUBLE-MASKED, SHAM-CONTROLLED STUDY TO INVESTIGATE THE EFFICACY, SAFETY, PHARMACOKINETICS, AND PHARMACODYNAMICS OF RO7200220 ADMINISTERED INTRAVITREALLY IN PATIENTS WITH UVEITIC MACULAR EDEMA"**, **Protocol no. GR44278, Version 1.0 dated 30/Aug/2022 with a total of up-to 15 subjects from India** under the provisions of New Drugs and Clinical Trial Rules, 2019

The permission granted by the Central Licensing Authority to conduct clinical trial shall be subject to following conditions, namely:-

(i) **The protocol shall be amended to include enrollment in India as presently protocol describes enrollment sites in Japan**;

(ii) Clinical trial at each site shall be initiated after approval of the clinical trial protocol and other related documents by the Ethics Committee of that site, registered with the Central Licencing Authority under rule 8;

(iii) where a clinical trial site does not have its own Ethics Committee, clinical trial at that site may be initiated after obtaining approval of the protocol from the Ethics Committee of another trial site; or an independent Ethics Committee for clinical trial constituted in accordance with the provisions of rule 7:

Provided that the approving Ethics Committee for clinical trial shall in such case be responsible for the study at the trial site or the centre, as the case may be:

Provided further that the approving Ethics Committee and the clinical trial site or the bioavailability and bioequivalence centre, as the case may be, shall be located within the same city or within a radius of 50 kms of the clinical trial site;

(iv) in case an ethics committee of a clinical trial site rejects the approval of the protocol, the details of the same shall be submitted to the Central Licensing Authority prior to seeking approval of another Ethics Committee for the protocol for conduct of the clinical trial at the same site;

(v) the Central Licencing Authority shall be informed about the approval granted by the Ethics Committee within a period of fifteen working days of the grant of such approval;

(vi) clinical trial shall be registered with the Clinical Trial Registry of India maintained by the Indian Council of Medical Research before enrolling the first subject for the trial;

(vii) clinical trial shall be conducted in accordance with the approved clinical trial protocol and other related documents and as per requirements of Good Clinical Practices Guidelines and the provisions of these rules;

(viii) status of enrolment of the trial subjects shall be submitted to the Central Licencing Authority on quarterly basis or as appropriate as per the duration of treatment in accordance with the approved clinical trial protocol, whichever is earlier;

(ix) six monthly status report of each clinical trial, as to whether it is ongoing, completed or terminated, shall be submitted to the Central Licencing Authority electronically in the SUGAM portal;

(x) in case of termination of any clinical trial the detailed reasons for such termination shall be communicated to the Central Licencing Authority within thirty working days of such termination;

(xi) any report of serious adverse event occurring during clinical trial to a subject of clinical trial, shall, after due analysis, be forwarded to the Central Licencing Authority, the chairperson of the Ethics Committee and the institute where the trial has been conducted within fourteen days of its occurrence as per Table 5 of the Third Schedule and in compliance with the procedures as specified in Chapter VI;

(xii) in case of injury during clinical trial to the subject of such trial, complete medical management and compensation shall be provided in accordance with Chapter VI and details of compensation provided in such cases shall be intimated to the Central Licencing Authority within thirty working days of the receipt of order issued by Central Licencing Authority in accordance with the provisions of the said Chapter;

(xiii) in case of clinical trial related death or permanent disability of any subject of such trial during the trial, compensation shall be provided in accordance with Chapter VI and details of compensation provided in such cases shall be intimated to the Central Licencing Authority within thirty working days of receipt of the order issued by the Central Licencing Authority in accordance with the provisions of the said Chapter;

(xiv) the premises of the sponsor including his representatives and clinical trial sites, shall be open for inspection by officers of the Central Licencing Authority who may be accompanied by officers of the State Licencing Authority or outside experts as authorised by the Central Licencing Authority, to verify compliance of the requirements of these rules and Good Clinical Practices Guidelines, to inspect, search and seize any record, result, document, investigational product, related to clinical trial and furnish reply to query raised by the said officer in relation to clinical trial;

(xv) where the new drug or investigational new drug is found to be useful in clinical development, the sponsor shall submit an application to the Central Licencing Authority for permission to import or manufacture for sale or for distribution of new drug in India, in accordance with Chapter X of these rules, unless otherwise justified;

(xvi) the laboratory owned by any person or a company or any other legal entity and utilised by that person to whom permission for clinical trial has been granted used for research and development, shall be deemed to be registered with the Central Licensing Authority and may be used for test or analysis of any drug for and on behalf of Central Licensing Authority;

(xvii) the Central Licencing Authority may, if considered necessary, impose any other condition in writing with justification, in respect of specific clinical trials, regarding the objective, design, subject population, subject eligibility, assessment, conduct and treatment of such specific clinical trial;

(xviii) the sponsor and the investigator shall maintain the data integrity of the data generated during clinical trial.

(xix) Merely granting permission to conduct the clinical trial with the Investigational Drug Product does not convey or imply that, based on the clinical trial study data generated with the investigational drug, permission to market this drug in the country will automatically be granted to you;

(xx) The permission to initiate clinical trial granted under rule 22 in form CT-06 or automatic approval under rule 23 in Form CT 4A shall remain valid for a period of **two years** from the date of its issue, unless extended by the Central Licencing Authority.

RAJEEV SINGH RAGHUVANSHI

Digitally signed by RAJEEV SINGH RAGHUVANSHI
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Date: 2023.12.07 11:07:39 +05'30'

Yours faithfully,

(Dr. Rajeev Singh Raghuvanshi)
Drugs Controller General (India) &
Central Licencing Authority
Stamp

File No. CT/40/23-DCG(I)

FORM CT-06

(See rules 22,25,26,29 and 30)

PERMISSION TO CONDUCT CLINICAL TRIAL OF NEW DRUG OR INVESTIGATIONAL NEW DRUG

1. The Central Licensing Authority hereby permits **M/s. Roche Products (India) Private Limited, 146 B, 166 A, Unit No 7, 8, 9, 8th floor, R city office, R City Mall, Lal Bahadur Shastri Marg, Ghatkopar (West) Mumbai (India) – 400086** to conduct clinical trial of the new drug or investigational new drug as per **Protocol no. GR44278, Version 1.0 dated 30/Aug/ 2022** in the below mentioned clinical trial sites [As per Annexure].-

2. Details of new drug or investigational new drug and clinical trial site [As per Annexure].

3. This permission is subject to the conditions prescribed in Part A of Chapter V of the New Drugs and Clinical Trials Rules, 2019 under the Drugs and Cosmetics Act, 1940.

Place: New Delhi
Date _____

RAJEEV SINGH RAGHUVANSHI

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Date: 2023.12.07 11:07:48 +05'30'

(Dr. Rajeev Singh Raghuvanshi)
Drugs Controller General (India) &
Central Licencing Authority
Stamp

Note: The permission to initiate clinical trial granted under rule 22 in form CT-06 shall remain valid for a period of **two years** from the date of its issue, unless extended by the Central Licencing Authority.

Annexure:
Details of new drug or investigational new drug:

Names of the new drug or investigational new drug	1 Vial RO7200220 6mg/0.3ml or RO72002201.5mg/0.3ml or Sham
Therapeutic class:	Anti-interleukin-6 (IL-6) monoclonal antibody
Dosage form:	Solution for intravitreal injection
Composition:	RO7200220 is provided as a sterile colorless to slightly brown solution for intravitreal injection and contains no preservatives. Each single-dose, 2mL vial contains 6 mg or 1.5mg (nominal) of RO7200220 at target pH 6.5. The drug product is formulated as 20mg/mL or 5mg/mL RO7200220 with the composition given in Table below:

Composition of RO7200220 Drug product, 20mg/mL (Ro 720-0220/F13)

Ingredient	Nominal Amount per Vial	Concentration	Function	Standard Specification
R07200220	6.00 mg	20.00 mg/mL	Active ingredient	In-house
L-Histidine	0. 49 mg[a]	15 0 mM	Buffering agent	USP-NF/Ph Eur /JP
L-Histidine Hydrochloride. Monohydrate	0. 28 mg[a]		Buffenng agent/ pH-adjusting agent	Ph Eur /JP
Sodium Chloride	0.87 mg	50 0 mM	Stabilizer	USP-NF/Ph Eur. /JP
Sucrose	24. 7 mg	240 mM	Tonicity agent	USP-NF/Ph Eur /JP
N-Acetyl-OL-Tryptophan	0.04 mg	0. 50 mM	Antioxidant	Ph. Eur
L-Methionine	0.45 mg	10.0 mM	Stabilizer	USP-NF/Ph Eur /JP
Polysorbate 20	0.15 mg	0.05% (w/v)	Surfactant	USP-NF/Ph. Eur. /JPE
Water for Injection	OS to 0. 3 ml	—	Solvent	USP-NF/Ph Eur /JP

[a] Buffer concentration to obtain a target pH of 6.5.

Composition of RO7200220 Drug product, 5mg/mL (Ro 720-0220/F12)

	Ingredient	Nominal Amount per Vial	Concentration	Function	Standard Specification
	R07200220	1.50 mg	5.00 mg/mL	Active ingredient	In-house
	L-Histidine	0.49 mg[a]	15.0 mM	Buffering agent	USP-NF/Ph. Eur. /JP
	L-Histidine Hydrochloride, Monohydrate	0.28 mg[a]		Buffering agent/ pH-adjusting agent	Ph. Eur./JP
	Sodium Chloride	0.87 mg	50.0 mM	Stabilizer	USP-NF/Ph. Eur. /JP
	Sucrose	24.7 mg	240 mM	Tonicity agent	USP-NF/Ph. Eur. /JP
	N-Acetyl-DL-Tryptophan	0.04 mg	0.50 mM	Antioxidant	Ph. Eur.
	L-Methionine	0.45 mg	10.0 mM	Stabilizer	USP-NF/Ph. Eur. /JP
	Polysorbate 20	0.15 mg	0.05% (w/v)	Surfactant	USP-NF/Ph. Eur. /JPE
	Water for Injection	QS to 0.3 mL		Solvent	USP-NF/Ph. Eur. /JP
Indication	It is currently being investigated as a treatment for patients with Uveitic Macular Edema				

[a] Buffer concentration to obtain a target pH of 6.5.

Annexure:

Details of clinical trial site:

Sr. No.	Names and address of clinical trial site	Ethics committee details	Name of investigator
1.	Narayana Nethralaya Eye Hospital, 121/C, Chord Road, 1st R Block, Rajajinagar, Bangalore-560010,	Narayana Nethralaya Ethics Committee, Narayana Nethralaya Eye Hospital, 121/C, Chord Road, 1st R Block,	Dr. Ankush Kawali

	Karnataka, India	Rajajinagar, Bangalore-560010, Karnataka, India ECR/187/Inst/Kar/2013/RR-19	
2.	Sankara Nethralaya, Medical Research Foundation, 41/18, College Road, Nungambakkam, Chennai-600006, Tamil Nadu, India	Institutional Review Board (Ethics Committee) of Vision Research Foundation, 41/18, College Road, Nungambakkam, Chennai-600006, Tamil Nadu, India ECR/162/Inst/TN/2013/RR-19	Dr. Jyotirmay Biswas
3.	LV Prasad Eye Institute, L V Prasad Marg, Banjara Hills, Road No: 02 Hyderabad 500034 Telangana ,India	L V Prasad Eye Institute, LV Prasad Marg, Banjara Hills, Road No: 02, Hyderabad 500034, Telangana, India ECR/468/Inst/AP/2013/RR-19	Dr. Mudit Tyagi
4.	Aravind Eye Hospital and Postgraduate Institute of Ophthalmology, No.1 Anna Nagar, Madurai - 625020, Tamil Nadu, India	Institutional Ethics Committee Aravind Eye Hospital, No.1 Anna Nagar, Madurai _ 625 020 Tamil Nadu, India ECR/182/1nst/TN/2013/RR-19	Dr. Rathinam Sivakumar
5.	ICARE Eye Hospital & Post Graduate Institute, E-3A, Sec-26, Noida, Uttar Pradesh, 201301, India	ICARE Eye Hospital & Post Graduate Institute Ethics Committee, E-3A, Sec-26, Noida, Uttar Pradesh, 201301, India ECR/393/Inst/UP/2013/RR-19	Dr. Shahana Mzumdar
6.	Department of Ophthalmology, Sanjay Gandhi Postgraduate Institute of Medical Sciences, Raebareli Road, Lucknow-226014, U.P. State, India	Bioethics Cell, Room no. 205, 1st Floor, Administrative Block, Sanjay Gandhi Postgraduate Institute of Medical Sciences, Raebareli Road, Lucknow-226014, U.P. State, India ECR/16/Inst/UP/2013/RR-20	Dr. Vikas Kanaujia

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